

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nielsen, James T.; et. al.
Assignee: Cardica, Inc.
Title: Surgical Apparatus and Method for Anastomosis
Serial No.: Not yet assigned Filing Date: December 31, 2003
Examiner: Not yet assigned Group Art Unit: Not yet assigned
Docket No.: 149

December 31, 2003

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

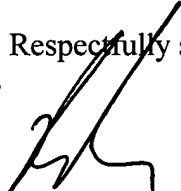
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98(d) and MPEP 609(I)(A)(2), copies of references listed on the form PTO-1449 and explanations of relevance of any non-English-language references that have been submitted in a parent application of this patent application are not included, because this application is a continuation-in-part under 37 CFR 1.53(b) of U.S. Patent Application Serial No. 10/720,618.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,



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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Us s veral sh ts if nec ssary)	ATTY. DOCKET NO. 149	SERIAL NO. Not yet assigned
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		3,254,650	06/01/1966	Collito			
		3,254,651	06/01/1966	Collito			
		3,519,187	07/07/1970	Kapitanov et. al.			
		3,774,615	11/27/1973	Lim et. al.			
		4,214,587	07/29/1980	Sakura, Jr.			
		4,350,160	09/21/1982	Kolesov et. al.			
		4,352,358	10/05/1982	Angelchik			
		4,366,819	01/04/1983	Kaster			
		4,368,736	01/18/1983	Kaster			
		4,503,568	03/12/1985	Madras			
		4,523,592	06/18/1985	Daniel			
		4,553,542	11/19/1985	Schenck et. al.			
		4,593,693	06/10/1986	Schenck			
		4,603,693	08/05/1986	Conta et. al.			
		4,607,637	08/26/1986	Berggren et. al.			
		4,624,255	11/25/1986	Schenck et. al.			
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		4,657,019	04/14/1987	Walsh et. al.			
		4,665,906	05/19/1987	Jervis			
		4,747,407	05/31/1988	Liu et. al.			
		4,752,024	06/21/1988	Green et. al.			
		4,773,420	09/27/1988	Green			
		4,907,591	03/13/1990	Vasconcellos et. al.			

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		4,917,087	04/17/1990	Walsh et. al.			
		4,917,090	04/17/1990	Berggren et. al.			
		4,917,091	04/17/1990	Berggren et. al.			
		4,930,674	06/05/1990	Barak			
		5,005,749	04/09/1991	Aranyi			
		5,062,842	11/05/1991	Tiffany			
		5,104,025	04/14/1992	Main et. al.			
		5,119,983	06/09/1992	Green et. al.			
		5,156,619	10/20/1992	Ehrenfeld			
		5,178,634	01/12/1993	Ramos Martinez			
		5,193,731	03/16/1993	Aranyi			
		5,205,459	04/27/1993	Brinkerhoff et. al.			
		5,211,683	05/18/1993	Maginot			
		5,234,447	08/10/1993	Kaster et. al.			
		5,250,058	10/05/1993	Miller et. al.			
		5,271,544	12/21/1993	Fox et. al.			
		5,275,322	01/04/1994	Brinkerhoff et. al.			
		5,285,945	02/15/1994	Brinkerhoff et. al.			
		5,292,053	03/08/1994	Bilotti et. al.			
		5,304,220	04/19/1994	Maginot			
		5,314,435	05/24/1994	Green et. al.			
		5,314,468	05/24/1994	Ramos Martinez			
		5,333,773	08/02/1994	Main et. al.			

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		5,336,233	08/09/1994	Chen			
		5,350,104	09/27/1994	Main et. al.			
		5,366,462	11/22/1994	Kaster et. al.			
		5,392,979	02/28/1995	Green et. al.			
		5,395,030	03/07/1995	Kuramoto et. al.			
		5,417,361	05/23/1995	Williamson IV			
		5,443,497	08/22/1995	Venbrux			
		5,447,514	09/05/1995	Gerry et. al.			
		5,452,836	09/26/1995	Huitema et. al.			
		5,454,825	10/03/1995	Van Leeuwen			
		5,456,712	10/10/1995	Maginot			
		5,456,714	10/10/1995	Owen			
		5,464,449	11/07/1995	Ryan et. al.			
		5,465,895	11/14/1995	Knodel et. al.			
		5,478,354	12/26/1995	Tovey et. al.			
		5,522,834	06/04/1996	Fonger et. al.			
		5,533,661	07/09/1996	Main et. al.			
		5,558,667	09/24/1996	Yarborough et. al.			
		5,562,241	10/08/1996	Knodel et. al.			
		5,632,432	05/27/1997	Schulze et. al.			
		5,669,544	09/23/1997	Schulze et. al.			
		5,571,167	11/05/1996	Maginot			
		5,580,067	12/03/1996	Hamblin et. al.			

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		5,609,285	03/11/1997	Grant et. al.			
		5,632,433	05/27/1997	Grant et. al.			
		5,643,340	07/01/1997	Nunokawa			
		5,653,373	08/05/1997	Green et. al.			
		5,669,918	09/23/1997	Balazs et. al.			
		5,676,670	10/14/1997	Kim			
		5,693,088	12/02/1997	Lazarus			
		5,695,504	12/09/1997	Gifford III, et. al.			
		5,702,412	12/30/1997	Popov et. al.			
		5,707,362	01/13/1998	Yoon			
		5,707,380	01/13/1998	Hinchliffe et. al.			
		5,709,693	01/20/1998	Taylor			
		5,725,544	03/10/1998	Rygaard			
		5,797,920	08/25/1998	Kim			
		5,799,857	09/01/1998	Robertson et. al.			
		5,817,113	10/06/1998	Gifford III et. al.			
		5,833,698	11/10/1998	Hinchliffe et. al.			
		5,865,730	02/02/1999	Fox et. al.			
		5,879,371	03/09/1999	Gardiner et. al.			
		5,915,616	06/29/1999	Viola et. al.			
		5,921,995	07/13/1999	Kleshinski			
		5,944,730	08/31/1999	Nobles et. al.			
		5,993,464	11/30/1999	Knodel			

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		6,015,416	01/18/2000	Stefanchik et. al.			
		6,024,748	02/15/2000	Manzo et. al.			
		6,036,700	03/14/2000	Stefanchik et. al.			
		6,050,472	04/18/2000	Shibata			
		6,053,390	04/25/2000	Green et. al.			
		6,066,144	05/23/2000	Wolf et. al.			
		6,066,148	05/23/2000	Rygaard			
		6,071,289	06/06/2000	Stefanchik et. al.			
		6,083,234	07/04/2000	Nicholas et. al.			
		6,110,187	08/29/2000	Donlon			
		6,117,148	09/12/2000	Ravo et. al.			
		6,176,413	01/23/2001	Heck et. al.			
		6,183,486	02/06/2001	Snow et. al.			
		6,187,019	02/13/2001	Stefanchik et. al.			
		6,193,129	02/27/2001	Bittner et. al.			
		6,193,734	02/27/2001	Bolduc et. al.			
		6,248,117	06/19/2001	Blatter			
		6,514,263	02/04/2003	Stefanchik			
		6,520,973	02/18/2003	McGarry			
		6,530,932	03/11/2003	Swayze			

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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB. CLASS	TRANSLATION YES NO	
		2316910	07/01/1976	France				
		19732234	01/01/1999	Germany				
		00/59380	10/12/2000	PCT				
		00/12013	03/09/2000	PCT				
		99/21491	05/01/1999	PCT				
		99/11178	03/01/1999	PCT				
		98/19625	05/01/1998	PCT				
		0990420	12/27/2000	EPO				
		0820724	03/01/2000	EPO				
		0820725	03/01/2000	EPO				
		0937870	09/01/1999	EPO				
		0885595	12/23/1998	EPO				

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